

# Curriculum Vitae

## John. J. Puthenpurackal

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Academic Background	<b>Texas A &amp; M University</b> Ph.D. in Finance <b>Indian Institute of Management, Ahmedabad, India</b> P.G.D.M., Electives: Finance and Economics <b>College of Engineering, Trivandrum, India</b> B.Tech., Electronics and Telecommunications	1997–2002  1993-1995  1986-1990
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Work Experience	<b>University of Nevada – Las Vegas</b> <ul style="list-style-type: none"><li>▪ (July 2015 - ) Department Chair of Finance</li><li>▪ (July 2015 - ) Professor of Finance with tenure</li><li>▪ (July 2009 – June 2015) Associate Professor of Finance with tenure.</li><li>▪ (August 2006 – June 2009) Assistant Professor of Finance.</li></ul> <b>National University of Singapore (NUS)</b> <ul style="list-style-type: none"><li>▪ (Summer 2009) Senior Visiting Fellow.</li></ul> <b>Ohio University</b> <ul style="list-style-type: none"><li>▪ (September 2002 – July 2006) Assistant Professor of Finance.</li></ul> <b>Texas A &amp; M University</b> <ul style="list-style-type: none"><li>▪ (September 1997 – August 2002) Ph.D. Finance student; research and teaching assistant.</li></ul> <b>The World Bank</b> <ul style="list-style-type: none"><li>▪ (Summer 2000) Summer intern. Worked on a cross-country study that examined whether the resolution choice of financially distressed firms is related to country and institutional factors.</li></ul> <b>Credit Analysis and Research (CARE), India</b> <ul style="list-style-type: none"><li>▪ (1995-1997) Credit rating analyst. Rated debt instruments issued by firms from a variety of industries. Also worked on a consulting assignment from the Government of India for potential privatization of a public sector enterprise</li></ul>
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Teaching Experience	<b>Texas A &amp; M University</b> Managerial Finance I in Spring 2002 <b>Ohio University</b> FIN 606 <u>Managerial Finance</u> Spring 2006 FIN 455/555 <u>International Finance</u> Fall 2002/2003 Spring 2005, Winter 2006 FIN 651 <u>Seminars in International Finance</u> Spring 2004/2005, Winter 2006 EMFE 651 <u>Seminars in International Finance</u> Spring 2005 FIN 325/525 <u>Foundations of Finance</u> Winter/ Spring 2003/4 <b>University of Nevada – Las Vegas</b> FIN 750 <u>International Financial Management</u> Fall 2015/2017 FIN 308 <u>International Financial Management</u> Fall 2006/2007/2008/2009/2010/2011/2012/2013; 2014 Spring 2007/2008/2008/2010/2012/2013/2015/2017/2018 FIN 301 <u>Principles of Managerial Finance</u> Fall 2010/2011/2012; Spring 2013/2016 FIN 307 <u>Investments</u> Spring 2011
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Research

Publications:

**“The Costs, Wealth Effects and Determinants of Public Yankee Bond Offerings”** (with Darius Miller). *Journal of Financial Intermediation*, Volume XI, No. 4, 2002, 455-485.

**“Security fungibility and the cost of capital: Evidence from Global Bonds”** (with Darius Miller). *Journal of Financial and Quantitative Analysis*, Volume 40(4), December 2005, 849-872.

**“Explaining premiums in restricted DR markets and their implications: The case of Infosys”** (Sole-author). *Financial Management*, Summer 35 (2) 2006, 93-116.

**“Stock Options and Total Payout”** (with Charles Cunny and Gerald Martin). *Journal of Financial and Quantitative Analysis*, April 2009, 44(2), 391-410.

**“Are Interlocked Directors Effective Monitors?”** (with Erik Devos and Andrew Prevost). *Financial Management* Winter 2009, 861-887.

**Repurchases of convertible preferred stock and shareholder wealth** (with Saeyoung Chang). *Journal of Business Research*, 67(4), April 2014, 623-630.

**Board ties and the cost of corporate debt** (with Tuugi Chuluun and Andrew Prevost). *Financial Management*, 43(3) Fall 2014, 533-568.

**Target management involved buyouts: Impact on takeover competition, litigation risk and shareholder returns** (with Sridhar Gogineni). *Journal of Financial Research*, Vol. XXXVII, No.3, Fall 2014, 323-356.

**Controlling shareholders, board structure, and firm performance: Evidence from India** (with Melvin Jameson and Andrew Prevost). *Journal of Corporate Finance* 27, 2014, 1-20 (Lead Article).

**The determinants and performance impact of outside board leadership** (with Steven Balsam and Arun Upadhyay). *Journal of Financial and Quantitative Analysis*, 51(4), August 2016, 1325-1358

**The impact of Go-shop provisions in mergers agreements** (with Sridhar Gogineni). *Financial Management*, 46(1) Spring 2017, 275-315.

**Related party transactions, corporate governance and CEO compensation** (with Steven Balsam and Richard Gifford). *Journal of Business Finance and Accounting*, 44(5-6), May/June 2017, 854-894.

Working papers:

**Corporate opacity and the effectiveness of independent women directors** (with Steven Balsam and Arun Upadhyay). Under revision for third review at *Journal of Accounting and Economics*.

**Why do takeover targets protect acquirers? Evidence from force-the-vote provisions** (with Sridhar Gogineni). Under journal review.

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Conference

Activities

**The Costs, Wealth Effects and Determinants of Public Yankee Bond Offerings** (with Darius Miller). Presented at

- The 2000 Northern Finance Association Meeting.
- The 2000 International Finance Georgia-Tech Conference.
- The 2001 European Financial Management Association Meeting.

**Security fungibility and the cost of capital: Evidence from Global Bonds** (with Darius Miller). Presented at

- The 2002 Financial Management Association meeting.
- Third Workshop organized by the European Central Bank and Center for Financial Studies in Athens, Greece, 2003, on “Capital Markets and Financial Integration in Europe”.

**Explaining premiums in restricted DR markets and their implications: The case of Infosys** (Sole-author). Presented at

- The Ohio State University Finance Department Seminar Series.
- The 2004 Financial Management Association meeting.

- Warren Buffett and Berkshire Hathaway** (with Gerald Martin). Presented at
- The 2005 Financial Management Association meeting.
- Stock Options and Total Payout** (with Charles Cuny and Gerald Martin). Presented
- The 2007 Financial Management Association meeting.
- Are Interlocked Directors Effective Monitors?** (with Erik Devos and Andrew Prevost). Presented at
- The 2008 Financial Management Association meeting.
- The effects of management involvement on takeover competition and shareholder returns** (with Sridhar Gogineni).” Presented at
- The 2010 Financial Management Association meeting.
- Board networks and the cost of corporate debt** (with Tuugi Chuluun and Andrew Prevost). Presented at
- The 2010 Financial Management Association meeting.
- Repurchases of convertible preferred stock and shareholder wealth** (with Saeyoung Chang). Presented at
- The 2011 Financial Management Association meeting.
- The determinants and performance impact of outside board leadership** (with Steven Balsam and Arun Upadhyay). Presented at
- The 2012 European Financial Management Association meeting, Barcelona.
  - The 2012 Financial Management Association meeting.
- Family founders, board structure, and firm performance: Evidence from India** (with Andrew Prevost and Mel Jameson). Presented at
- The 2012 Financial Management Association meeting.
- Board gender diversity and firm performance: The impact of information environment** (with Arun Upadhyay). Presented at
- The 2013 European Financial Management Association meeting, UK
  - University of Bath Journal of Empirical Finance Conference, UK.
  - The 2013 Financial Management Association meeting.
- The impact of go-shop provision in mergers and acquisitions** (with Sridhar Gogineni). Accepted for presentation at
- The 2014 European Financial Management Association meeting.
- Controlling shareholders and CEO compensation: Evidence from India** (with Tuugi Chuluun and Andrew Prevost). Presented at
- The 2015 Financial Management Association meeting.

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Academic Activities and Awards	2001 FMA Doctoral Student Seminar participant	
	Adhoc referee for The Financial Review, Journal of Corporate Finance	2007
	Dean’s Award for Outstanding Research by a Ph.D. Student, Texas A&M	2001
	Regent’s Fellowship, Texas A & M University	1997-2000
	Gardner Fellowship at Ohio University	2002-2006
	College of Business Intellectual Contributions Award, Ohio U.	2005
	Nominated for College of Business Researcher of the Year Award, UNLV	2007
	Beam Research Fellowship, UNLV	2010-2012
	Nominated for Lee Business School Service of the Year Award, UNLV	2011
	Nominated for Lee Business School Teacher of the Year Award, UNLV	2013
	Lee School of Business Researcher of the Year Award, UNLV	2014
	Nominated for Lee Business School Researcher of the Year Award, UNLV	2016

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## Service

### University level

- Member of the UNLV Tier One Initiative Committee.
- Fellow of the UNLV Leadership Development Academy, 2013-2014.
- Served as Lee School of Business Representative on Faculty Senate Scholarship Committee (Fall 2008 to Spring 2009).
- Serve as Lee School of Business Representative on Faculty Senate Scholarship Committee (Fall 2014-).
- Served as an Ex-officio member of the UNLV Foundation Finance and Investment Committee (Spring 2008 and Fall 2008). I attended several meetings to discuss allocation of the UNLV endowment and evaluation of investment managers.

### College/School Committee

- Served on the College of Business (COB) Research committee (Fall 2009 to Spring 2014). Evaluated summer research grant applications, COB researcher of the year applications and discussed possible changes to the COB journal list.
- Served on the LBS strategic planning group (Spring 2014)
- Serve on the College of Business (COB) Personnel Appeals Committee (Since Fall 2009).
- Served on the Finance Department Representative International Business Advisory Team (Fall 2008 to Spring 2012).
- Served on an ad-hoc committee appointed by the Dean on Assurance of Learning chaired by Steve Miller and Al Smith. (Fall 2008 and Spring 2009).
- Served as College of Business Database Coordinator From Fall 2006 to Spring 2009.
- Served on College of Business Technology Committee (Fall 2007- Spring 2008).

### Department/Unit Committee

- FMA Student Group Faculty Advisor (Fall 2014-Fall 2017)
- FinTech Student Group Faculty Advisor (Fall 2017 - )
- Department Internship coordinator (Fall 2014 – Fall 2017)
- Served on the Peer Review Committee (Fall 2011 – Spring 2013). The peer review committee makes recommendations on annual evaluations of department faculty members as well as summer teaching assignments. The committee has also worked on new guidelines for assigning summer courses.
- Served as the Faculty search committee chair, Fall 2010. The search committee comprised Saeyoung Chang, Michael Sullivan and myself. The search process resulted in the hiring of Daniel Chi as an Assistant Professor.
- Served as a member of a committee (with Paul Thistle and Jeff Brookman) to evaluate potential Masters Programs in Finance/Financial Economics in 2007.
- Served as a member of the Faculty search committee in Fall 2007. The search process resulted in the hiring of Andrew Zhang as an Assistant Professor.

## Service to Journals

- I have served as a journal referee for the *Financial Review*, *Journal of Corporate Finance* and *Journal of Business Research*.

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References	<b>Darius P. Miller</b> SMU, Dallas	(214) 768-4182	dpmiller@cox.smu.edu
	<b>Steven Balsam</b> Temple University	(215) 204-5574	drb@temple.edu
	<b>Andrew K. Prevost</b> University of Vermont	(802) 656-9654	Andrew.Prevost@uvm.edu

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